

QUANTUM DOT SOLAR CELL. AN HSAB PERSPECTIVE

Bogdan SERBAN¹, Cornel COBIANU^{1*},
Mihai BREZEANU¹, Octavian BUIU¹

Abstract *The Hard Soft Acid Base (HSAB) theory is presented as a new tool for the design of quantum dot based solar cells comprising TiO₂/ bifunctional linker / quantum dot / p-type semiconducting polymer. Since Ti⁴⁺ is classified as a hard acid and most of the transition metal semiconductor cations on the surface of the quantum dots are soft acids, the main goal of the discussed approach is to select bifunctional linkers with appropriate anchors for assembling quantum dots onto the surface of titania. A plethora of bifunctional ligands which belong to several classes of organic compounds (amino acids, antibiotics, vitamins, seleno compounds, etc.) are discussed and analysed in terms of the HSAB concept. The functionalization of a p-type semiconducting organic polymer backbone with an HSAB-appropriate anchor for improving the design of polymer - quantum dots hybrid interface in the solar cell structure is also presented.*

Keywords: HSAB (Hard Soft Acid Base) concept, bifunctional ligand, anchor, quantum dots, solar cells

1. Introduction

Hard Soft Acid Base (HSAB) principle was introduced by Ralph Pearson [1] in the early nineteen sixties to explain chemical reactivity of different species, the stability of different complexes, preferences of some compounds to react with other compounds, reactions mechanisms, etc. The principle applies to Lewis acids and bases, a well – known classification, according to which a molecule capable to accept an electron pair is an acid, while a molecule capable to donate it is a base.

Soft acids and soft bases exhibit the following features: strong polarizability, low electronegativity, low or zero oxidation state and large atomic/ionic radius. Examples of soft acids are: CH₃Hg⁺, Pt²⁺, Pd²⁺, Ag⁺, I₂, trinitrobenzene, carbenes, metal atoms, tetracyanoethylene, Au⁺, Hg²⁺, Ga₃, Hg₂²⁺, Cd²⁺, BH₃. Examples of soft bases include: benzene, ethylene, R₃P, SCN⁻, I⁻, RSH, R₂S, R₃As, CO.

By contrast, hard acids and bases tend to have a smaller ionic/atomic radius, high oxidation state, high electronegativity and weak polarizability.

^{1*}Cornel Cobianu is Member of Academy of Romanian Scientists

¹Honeywell Romania SRL, Sensors and Wireless Laboratory, Bucharest, Romania, 169A, Floreasca Street, Building A, 014459, Bucharest, Romania.

Examples of hard acids, *inter alia*, include : H^+ , Ga^{3+} , Ti^{4+} , In^{3+} , La^{3+} , Na^+ , Li^+ , Al^{3+} , $AlCl_3$, etc.

Examples of hard bases are: $C_2O_4^{2-}$, HO^- , ROH , H_2O , CO_3^{2-} , PO_4^{3-} , $RCOO^-$, RNH_2 , R_2NH , R_3N , etc.

Bordeline types of acid and bases can be also identified. Their characteristics are intermediate between those of hard and soft species.

According to the HSAB principle, a hard acid prefers to bond to a hard base and a soft acid prefers to bond to a soft base. Similarly, a borderline Lewis acid prefers to bond to a borderline Lewis base [2, 3].

The interaction between hard acids and hard bases has an ionogenic character, while the interaction between soft acids and soft bases is predominantly covalent.

Despite of published critical review [4], HSAB theory remains an interesting tool of investigation in many areas of chemistry, such as:

Gas sensing: In the last years, our group employed the HSAB theory when selecting and designing sensing layers for the detection of carbon dioxide [5-18], nitrogen dioxide [19], oxygen [20], and sulphur dioxide [21].

Analytical chemistry: The HSAB concept was shown to be a versatile tool for predicting favorable equilibria in reactions involving cations of transition metals [22].

Adsorption phenomena: The adsorption of metal ions onto activated carbons was interpreted in terms of the HSAB concept by Alfara *et al.* [23].

Medicinal chemistry, toxicology: Chelation therapy (the use of EDTA (ethylenediaminetetraacetic acid) in the case of hypercalcemia, for instance) and a lot of medical treatments can be understood in the terms of the HSAB theory [24].

This paper presents how a quantum dot solar cell can be designed by using the HSAB principle.

2. Quantum dots, an emerging idea in manufacturing of the photovoltaic cells

State-of-the-art solar cells are expensive and have a low performance versus price ratio. Therefore, improved efficiency and cheap large scale manufacturing technology for solar cells are needed.

The use of quantum dots in the design of solar cells is a promising solution for obtaining solar cell with high efficiency.

Compared to bulk semiconducting materials, quantum dots exhibit superior properties such as, for instance, band gap tuning.

In addition, they can be synthesized in different shapes, and can be processed with a broad variety of materials such as: cadmium selenide, cadmium sulfide, indium phosphide, etc. [25].

A very common quantum dot solar cell structure consists of TiO_2 (acting as n-type semiconductor) / bifunctional linker (ligand) / quantum dot / p-type semiconducting polymer (**Fig. 1**).

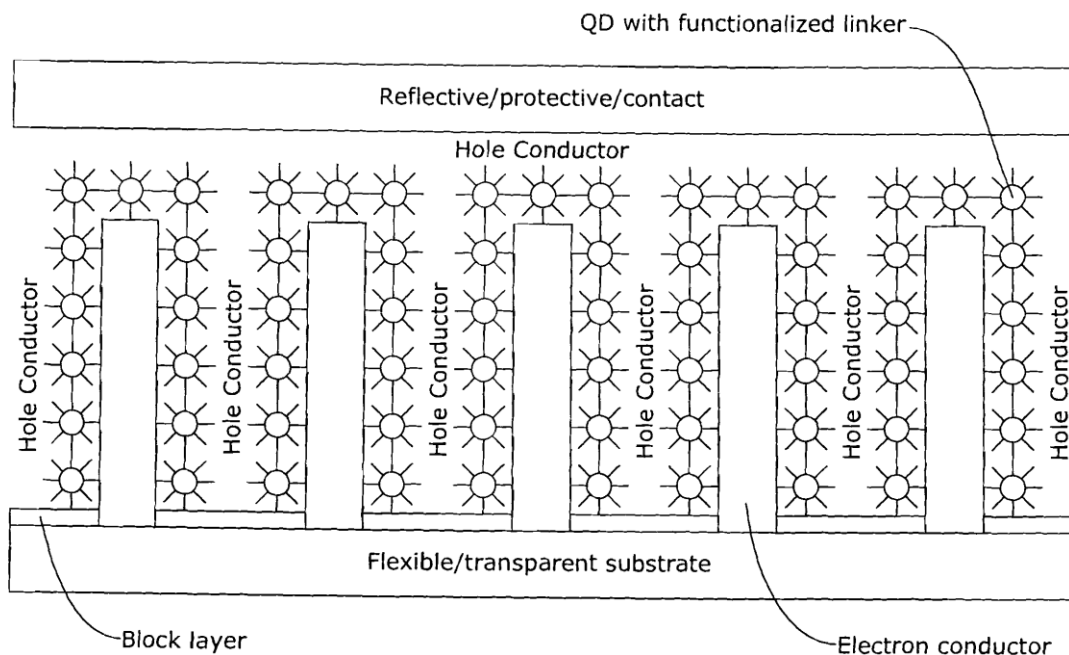


Fig. 1. Schematic cross-sectional side view of an illustrative quantum dot solar cell

When manufacturing such quantum dot solar cells structures, the HSAB principle can be extremely useful when selecting the materials and designing the following interfaces (**Fig. 2**):

Interface 1: TiO_2 - bifunctional linkers (ligands);

Interface 2: Ligands - quantum dots (QDs);

Interface 3: QDs - p-type semiconducting polymer.

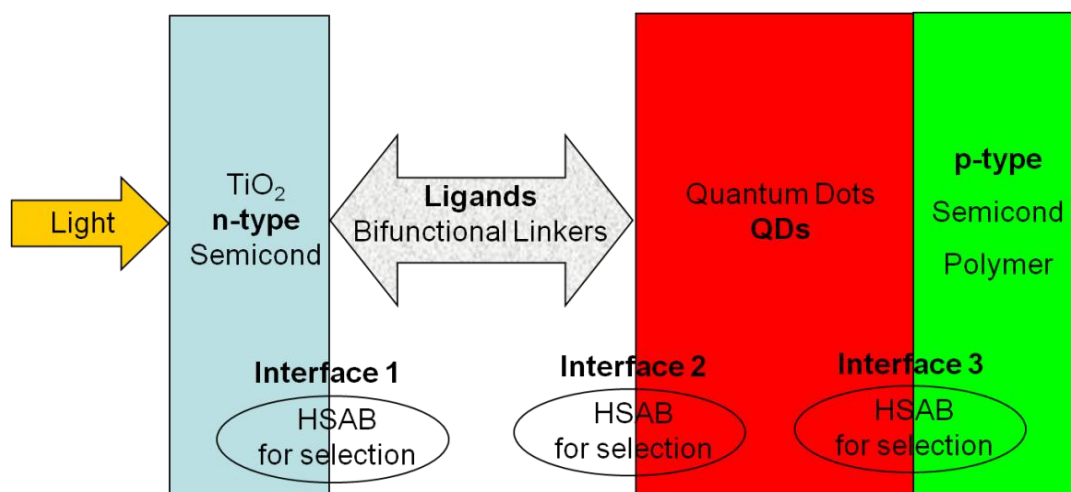


Fig.2. The role of HSAB in the design of quantum dot solar cells.

3. The HSAB principle in modulating the chemical interaction between the TiO_2 layer and the ligand

Usually, bifunctional linkers employ carboxylic acid groups, phosphonic groups, amide, acid chloride or ester groups [26]. For the solar cell quantum dot structure, we propose three ligands as follows: cysteine, homocysteine and isocysteine.

All of the three are amino acids and amphoteric molecules (as presented in Fig. 3).

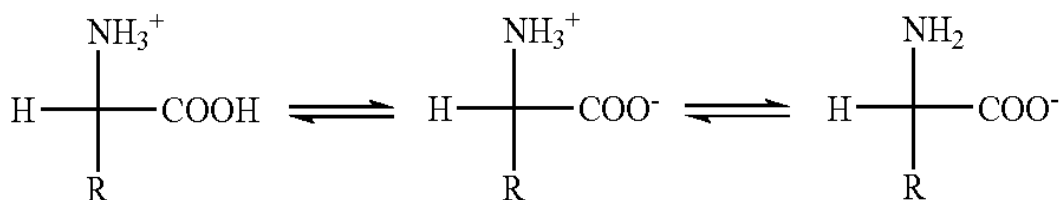


Fig. 3. The zwitterionic character of amino acids

The nature of the TiO_2 - bifunctional linker interaction can be controlled by adjusting the pH of the amino acid solution.

In strong acidic medium ($\text{pH} < 1$), the above selected ligands are mostly in cationic form, thus the deprotonation of the carboxylic group is prevented. A covalent bond may be formed via esterification between the carboxylic group of the amino acids and the oxygen atom in the TiO_2 n-type conducting layer.

In strong basic medium ($\text{pH} > 12$), the ligands are mostly in anionic form and the carboxylic groups are deprotonated (COO^-). The Ti^{4+} , classified as hard acid according to the HSAB principle, is a surface defect in TiO_2 that introduces specific energy levels.

At the same time, the carboxylate group (COO^-) is a hard Lewis base. Therefore, the Ti^{4+} - carboxylate group interaction is a hard acid - hard base interaction and has a pronounced ionogenic character, according to the HSAB theory [27-29].

4. The HSAB principle in modulating the chemical interaction between the ligand and the QDs

According to the HSAB rule, the Cd^{2+} , Cu^+ and Ag^+ cations which can be found at the surface of quantum dots are classified as soft acids. Therefore, they prefer to bond with mercapto group, thioether group, organic sulphides which are soft bases.

CuO , ZnO , Sb_2S_3 , Bi_2S_3 , PbS and PbSe are other examples of quantum dots that may be employed in the photovoltaic cells discussed herein. Antimony, copper, zinc, bismuth and lead cations are borderline acids. Therefore, ligands which are borderline bases can be employed.

Example of suitable borderline bases include pyridine moieties and arylamine moieties.

As a consequence, the first fundamental step in the functionalization of quantum dots is the selection of either a suitable soft acid - soft base pair or of a borderline acid – borderline base tandem, pending on the nature of the QDs. Following this strategy, a series of novel ligands were introduced in the design of quantum dot solar cell structure.

Selenocompounds (such as selenolipoic acid (**Fig. 4**), selenopenicillamine (**Fig. 5**), Se-methylselenocysteine (**Fig. 6**), Se-allylcysteine (**Fig. 7**), selenogluthatione [30]), 2-[2-ethoxycarbonylmethylsulfanyl]ethyl]-1,3-thiazolidine-4-carboxylic acid (**Fig. 8**), 2-acetylamino-3-benzylsulfanyl acetic acid (**Fig. 9**), 2-[(2-oxothiolan-3-yl)carbamoylemethylsulfanyl] propanoic acid (**Fig. 10**), isocysteine [31], vitamins and antagonist of vitamins (such as biotin (**Fig. 11**), norbiotin (**Fig. 12**), homobiotin (**Fig. 13**), actazic acid (**Fig. 14**)), antibiotics (like oxacillin, ampicillin, piperacillin, azlocillin, carbenicillin [32]), contain soft base moieties and can ensure a strong interface with Cd^{2+} , Ag^+ , or Cu^+ cations-based quantum dots.

At the same time, all these ligands incorporate one or more carboxyl ($-\text{COOH}$) groups capable to bind to the TiO_2 surface.

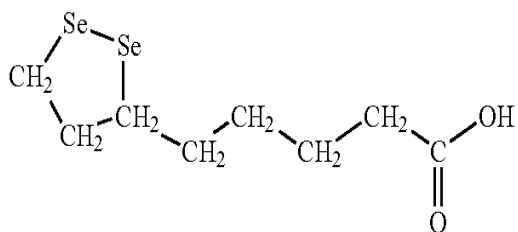


Fig. 4. The structure of selenolipoic acid

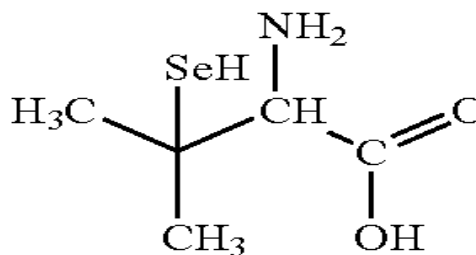


Fig. 5. The structure of selenopenicillamine

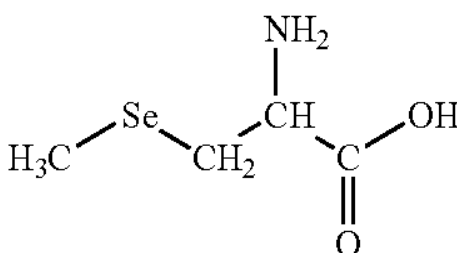


Fig. 6. The structure of Se-methylselenocysteine

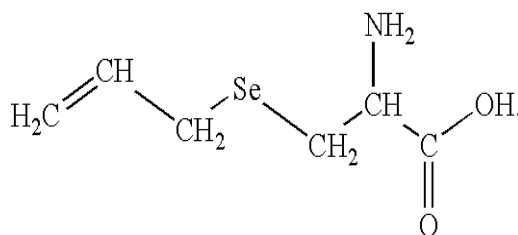


Fig. 7. The structure of Se-allylcysteine

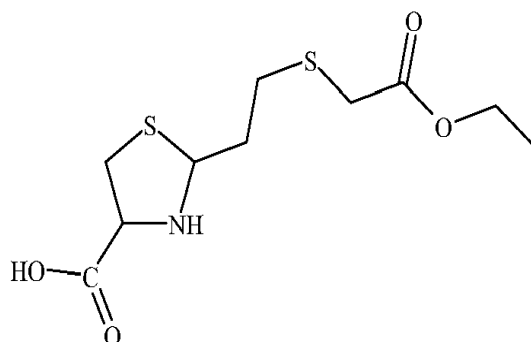


Fig. 8. 2-[2-ethoxycarbonylmethylsulfanyl]ethyl]-1,3-thiazolidine-4-carboxylic acid

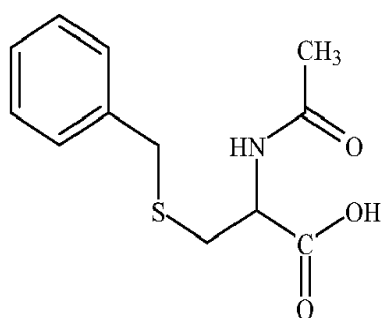


Fig. 9. 2-acetylamino-3-benzylsulfanyl acetic acid

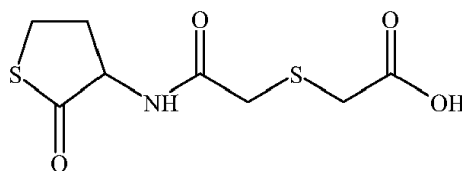


Fig. 10. 2-[(2-oxothiolan-3-yl) carbamoylmethylsulfanyl] propanoic acid

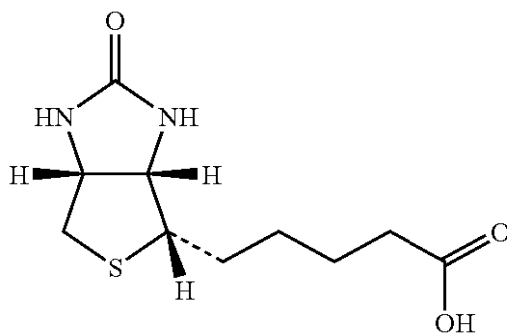


Fig. 11. The structure of biotin

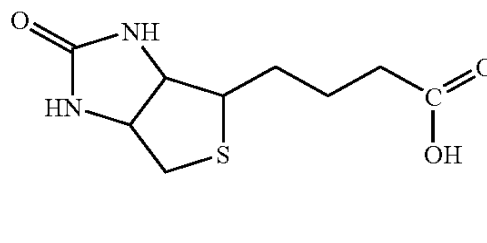


Fig. 12. The structure of norbiotin

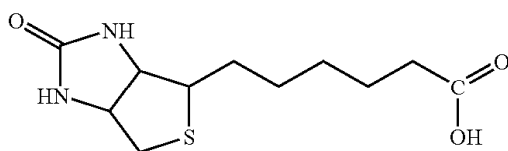


Fig. 13. The structure of homobiotin

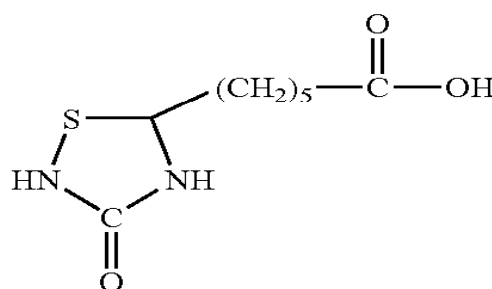


Fig. 14. The structure of actazic acid

5. The HSAB principle in modulating the chemical interaction between the QDs and p-type semiconducting polymers

The power conversion efficiency of different photovoltaic cell structures, including the one presented in this study, is strongly dependent on the charge transfer at the interface between the hybrid quantum dots and the semiconducting organic polymer.

A covalent bond between quantum dots and the polymer increases the charge transfer and the miscibility of the components and avoids segregation of phases.

To molecularly design the quantum dot - p-type semiconducting polymer interface, the functionalization of polymers with suitable pendant anchors, according to the HSAB theory, can be employed.

For CuO, ZnO, Sb₂S₃, Bi₂S₃, PbS and PbSe – based quantum dots, polythiophene tailored with pyridine group is a suitable p-type semiconducting polymer (**Fig. 15**).

All the above mentioned cations, which are predominately present at the surface of quantum dots, are classified, according to Pearson's theory, as borderline acids, while the pyridine group is a borderline base [33].

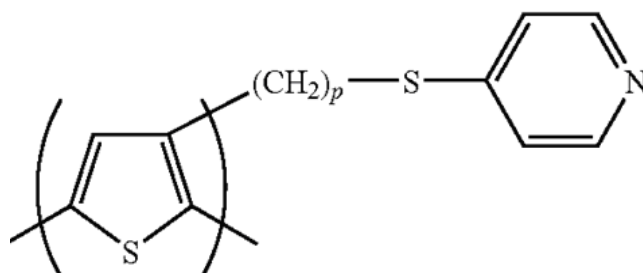


Fig. 15. The structure of polythiophene with pyridine pendant group
(p is an integer ranging from 6 to about 12).

At the same time, for CdS, CdTe, CdSe, Ag₂S, PbS – based quantum dots, polythiophene tailored with mercapto group or with thioether group is a suitable p-type semiconducting polymer (**Fig. 16**).

All the above mentioned cations, which are predominately present at the surface of quantum dots, are classified as soft acids, while the mercapto groups and the thioether groups are soft bases [34-35].

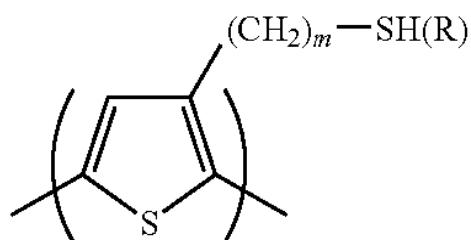


Fig. 16. The structure of polythiophene with mercapto or thioether pendant group
(m is an integer ranging from 6 to about 12).

6. Conclusions

The optimization of the design of quantum dot solar cells comprising TiO₂ (n-type semiconductor)/bifunctional linker/quantum dots (light absorber)/ p-type semiconductor polymer was discussed in terms of the HSAB concept.

This concept explains the heuristic approaches in this field. The HSAB theory proved to be highly relevant for selecting the appropriate materials for three types of interfaces: TiO₂ - bifunctional linker, bifunctional linker - quantum dots, and quantum dots - p-type semiconducting polymer.

At the same time, the HSAB theory is a viable tool for the functionalization of the quantum dots and for the design of quantum dots - polymer nanocomposite to be in solar cells and other applications.

Acknowledgment

The authors would like to acknowledge the financial support of the Romanian National Agency of Scientific Research through contract 274/2010 – Photovoltaic Cell with Hyperpolarizable Organic Chromophore / NOVOCELL (European Union structural funds).

REFERENCES

- [1] R.G. Pearson, *Hard and soft acids and bases*, J. Am. Chem. Soc., 85, pp. 3533-3539, **1963**.
- [2] J. March, *Advanced organic chemistry*, 3rd edition, John Wiley and Sons, pp. 227-229, **1985**.
- [3] B. Serban, M. Mihaila, S. Costea, O. Buiu, *New ligand selection rule for quantum dot functionalization*, Proceedings of the International Semiconductor Conference CAS, pp. 81-84, **2009**.
- [4] H. Mayr, M. Breugst, A.R. Ofial, *Farewell to the HSAB Treatment of Ambident Reactivity*, Angewandte Chemie, Volume 50, Issue 29, pp. 6470-6505, **2011**.
- [5] B. Serban, A.K. SarinKumar, M. Brezeanu, C. Cobianu, O. Buiu, C. Bostan, N. Varachiu, S. Costea, *CO₂ Sensing layers for SAW/BAW devices*, Romanian Journal of Information Science and Technology, Volume 14, Number 3, pp. 222-231, **2011**.
- [6] C. Cobianu, B. Serban, *A vision on resonant nano-electro-mechanical sensor*, Annals of the Academy of Romanian Scientist, Series on Science and Technology of Information, Volume 4, Number 2, pp. 17-39, **2011**.
- [7] B. Serban, C. Cobianu, C.G. Bostan, O. Buiu, *Carbon nanotubes and their nanocomposites for carbon dioxide sensing*, Annals of the Academy of Romanian Scientist, Series on Science and Technology of Information, Volume 4, No. 1, pp. 91-99, **2011**.
- [8] B. Serban, A.K SarinKumar, M. Brezeanu, C. Cobianu, O. Buiu, C. Bostan, N. Varachiu, S. Costea, *Amino groups-based polymers for CO₂ detection; a comparison between two sensing mechanism models*, IEEE International Semiconductor CAS Conference, Sinaia, Romania, pp. 127-130, **2011**.
- [9] C. Cobianu, B. Serban, I. Georgescu, S. Costea, C. Bostan, *Emerging All-Differential Chemical Sensing*, Romanian Journal of Information Science and Technology, Volume 13, Number 4, pp. 342-349, **2010**.
- [10] C. Cobianu, B. Serban, I. Georgescu, S. Costea, C. Bostan, *A novel concept for low drift chemical sensing at micro and nano scale*, Proceedings of the International Semiconductor Conference, pp. 217-220, **2010**.
- [11] B. Serban, A. K. SarinKumar, C. Cobianu, O. Buiu, S. Costea, C. Bostan, N. Varachiu, *Selection of gas sensing materials using the hard soft acid base theory; Application to surface acoustic wave CO₂ detection*, Proceedings of the International Semiconductor Conference, pp. 247-250, **2010**.
- [12] B. Serban, A. K. SarinKumar, S. Costea, M.i Mihaila, O. Buiu, M. Brezeanu, N. Varachiu, C. Cobianu, *Surface acoustic wave CO₂ sensing with polymer-amino carbon nanotube composites*, Proceedings of the International Semiconductor Conference CAS, pp 73-76, **2008**.
- [13] B. Serban, A. K. SarinKumar, S. Costea, M. Mihaila, O. Buiu, M. Brezeanu, N. Varachiu, C. Cobianu, *Polymer-amino carbon nanotubes nanocomposites for surface*

acoustic wave CO₂ detection, Romanian Journal of Information science and technology, Volume 12, Number 3, pp. 376-384, **2009**.

[14] B. Serban, C. Cobianu, M. Bercu, N. Varachiu, M. Mihaila, C. Bostan, S. Voicu, *Matrix nanocomposite containing aminocarbon nanotubes for carbon dioxide sensor detection*, US Granted Patent 7,913,541B2, Mar. 29, **2011**.

[15] B. Serban, C. Cobianu, M. N. Mihaila, V.G. Dumitru, *Functionalized monolayers for carbon dioxide detection by a resonant nanosensor*, US Granted patent 8,230, 720 B2, July 31, **2012**.

[16] B. Serban, C. Cobianu, M. Mihaila, V.G. Dumitru, *Carbon dioxide sensor with functionalized resonating beams*, US Granted Patent 8, 544,314 B2, Oct 1, **2013**.

[17] B. Serban, C. Cobianu, C. Bostan, *Novel Concepts for CO₂ detection by differential resonant nanosensing*, pp. 57-71, book chapter published in "Nanomaterials and Nanostructures for various applications", Romanian Academy Publishing House, ISBN 978-973-27-2169-8, Editors : Gheorghe Brezeanu, Horia Iovu, Cornel Cobianu, Dan Dascalu, 2012.

[18] C. Cobianu, B. Serban, M. Mihaila, V. Dumitru, F.A. Hassani, Y. Tsuchiya, H. Mizuta, V. Cherman, I. De Wolf, V. Petrescu, J. Santana, C. Dupre, E. Ollier, T. Ernst, P. Andreucci, L. Duraffourg, D. Tsamados, A.M. Ionescu, *Nano-scale resonant sensors for gas and biodetection: expectations and challenges*, Proceedings of the International Semiconductor Conference Sinaia, Romania, pp. 259-262, **2009**.

[19] B. Serban, C. Cobianu, M. Mihaila, V.G. Dumitru, O. Buiu, *Differential resonators for NO₂ detection and methods related thereto*, US Granted patent, 8,563,319, October 22, **2013**.

[20] B. Serban, M. Mihaila, O. Buiu, S. Costea, *Oxygen sensors based on hard-soft acid-base relationships*, U.S Patent Application, US 2013/0171027A1, July 4, **2013**.

[21] B. Serban, C. Cobianu, M. Mihaila, V.G. Dumitru, *SO₂ detection using differential nano-resonators and methods related thereto*, EP 2.336.755 A1, 22.06. **2011**.

[22] http://www.chem.latech.edu/~upali/chem281/notes/HSAB_Theory.pdf

[23] A. Alfara, E. Frackowiak, F. Beguin, *The HSAB concept as a means to interpret the adsorption of metal ions onto activated carbons*, Applied Surface Science, Vol. 228, Issues 1-4, pp. 84-92, **2004**.

[24] G. Odian, I. Blei, *General, Organic, And Biological Chemistry*, Schaum's Outline Series, McGraw-Hill, **1994**.

[25] A.J. Nozik, *Quantum dot solar cells*, Physica E: Low dimensional- Systems and Nanostructures 14, pp. 115-120, **2002**.

[26] E. Galoppini, *Linkers for anchoring sensitizers to semiconductor nanoparticles*, Coordination Chemistry Reviews, 248, 1283-1297, **2004**.

[27] B. Serban, M.N. Mihaila, S.D. Costea, M. Bercu, *Quantum dot solar cell*, US Granted patent 8, 227, 686 B2, July 24, **2012**.

[28] O. Buiu, B Serban, M. Mihaila, M. Brezeanu and S. Costea, *New design approach for quantum dot solar cell*, Abstract Proceedings of Second workshop on "Size-dependent Effects in Materials for environmental protection", Nessebar, Bulgaria, September 19-21, pp. 22, **2010**.

- [29] B. Serban, *HSAB theory - applications in nanotechnology and nanomaterials*, 4th edition of the “Current trends and advanced ellipsometric and all X-ray techniques for the characterization of nanostructured materials Workshop”, 12 - 13 Sept, Bucuresti, **2012**.
- [30] B. Serban, M. Mihaila, V.G. Dumitru, C. Bostan, S. Costea, *Quantum dot solar cell*, U.S Granted Patent 8299355B2, 30 oct. **2012**.
- [31] B. Serban, M. Mihaila, S. Costea, O. Buiu, *Quantum dot solar cell*, US Granted Patent No. 8,227, 687 B2, Jul.24, **2012**.
- [32] B. Serban, M. Mihaila, C. Bostan, V.G. Dumitru, *Quantum dot solar cell*, U.S Granted Patent 8,373,063B2, Feb.12, **2013**.
- [33] B. Serban, M. Mihaila, V.G. Dumitru, S. Costea, *Quantum dot solar cell*, US Granted Patent 8,288,649 B2, Oct.16, **2012**.
- [34] B. Serban, M.N. Mihaila, S.D. Costea, V.G. Dumitru, *Quantum dot solar cell*, US Granted Patent 8,283,561 B2, Oct. 9, **2012**.
- [35] B. Serban, M.N. Mihaila, V.G. Dumitru, C. Bostan, S.D. Costea, M. Bercu, *Quantum dot solar cell*, US Granted Patent 8, 148,632 B2, April 3, **2012**.
- [36] B. Serban, M. Mihaila, O. Buiu, C.G. Bostan, *Solar cell design using HSAB and coordination chemistry principles*, EMRS, Warsaw, Symposium H, Novel materials for electronics, optoelectronics, photovoltaics and energy saving applications, **2011**.
- [37] B. Serban, M. Mihaila, O. Buiu, S. Costea, *A new approach for quantum dot-polymer nanocomposite design*, Nanomeasure Krakow, pp. 12, **2010**.